

## Research Article

# The Spectra of Multiplication Operators and Weighted Composition Operators on Iterated Weighted-Type Banach Spaces of Analytic Functions

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**Abstract:** This research aims to analyze the spectral of multiplication operators acting on weighted Banach spaces of analytic functions defined on the unit disk. These spaces, denoted by  $S_n : n \in \mathbb{N}$ , include well-known cases such as the Bloch space and the Zygmund space for  $n = 1$  and  $n = 2$ , respectively. Additionally, we offer a description of the spectra of weighted composition operators within these spaces. The outline of this paper provides a structured framework for organizing the research, starting from the introduction to the conclusion and references. The main section is to investigate the spectrum of multiplication operators on  $S_n$  spaces, and it followed by a section that is designed to build upon the previous one, leading to characterize the spectrum of weighted composition operators on the same spaces.

**Keywords:** spectra, isometry, multiplication operators, weighted composition operators, iterated banach spaces of analytic functions

**MSC:** 47B38

## 1. Introduction

Let  $D$  represents the open unit disk in the complex plane,  $H(D)$  the set of analytic functions on  $D$ , and  $F(D)$  the set of analytic selfmaps of  $D$ . The  $n$ th weighted Banach spaces  $\{S_n : n \in \mathbb{N}\}$  consists of all  $f \in H(D)$  such that

$$\sup_{z \in \mathbb{D}} (1 - |z|^2) |f^{(n)}(z)| < \infty,$$

where the norm of  $f$  in  $S_n$  is defined as follows

$$\|f\|_{S_n} = \sum_{j=0}^{n-1} |f^{(j)}(0)| + \sup_{z \in \mathbb{D}} (1 - |z|^2) |f^{(n)}(z)|.$$

In particular,  $S_1$  is the Bloch space  $B_\mu$  with  $\mu = (1 - |z|^2)$ , which is the Banach space of analytic functions  $f$  on  $D$  such that  $f' \in H_\mu^\infty$ . Thus,  $f \in B_\mu$  if and only if  $f' \in H_\mu^\infty$  with norm

$$\|f\|_{B_\mu} = |f(0)| + \sup_{z \in D} \mu(z) |f'(z)|.$$

And  $S_2$  is the Zygmund space  $Z_\mu$  with  $\mu = (1 - |z|^2)$ , which is the Banach space of functions  $f \in H(D)$  satisfying the condition  $f' \in B_\mu$ . The norm in  $Z_\mu$  is defined by

$$\|f\|_{Z_\mu} = |f(0)| + |f'(0)| + \sup_{z \in D} \mu(z) |f''(z)|.$$

Recently, the iterated spaces  $S_n$  have been studied in several resources such as [1] and [2]. In particular, the authors of [1] show some interesting properties of such spaces. Indeed,  $(S_n)$  is a nested sequence which is contained in the disk algebra  $H^\infty(D) \cap C(\bar{D})$  for all  $n \geq 2$ . Moreover, for  $n \in \mathbb{N}$  and  $f \in S_n$ ,

$$\|f\|_{S_{n-1}} \leq \|f\|_{S_n}.$$

Also, for each  $n \geq 3$ , they find that  $S_n$  is an algebra.

The  $n$ th weighted Banach spaces find significant utility in approximation theory and numerical analysis [3]. These spaces play a crucial role in assessing the precision of various numerical techniques aimed at approximating functions possessing  $n$ th order derivatives, such as finite difference and finite element methods [4]. Additionally, they facilitate the establishment of convergence rates for diverse approximation strategies and aid in deriving error margins for numerical solutions of differential equations [5]. Beyond these, they serve in signal processing, enabling the analysis and manipulation of signals represented as analytic functions [6]. Moreover, they contribute to machine learning by providing a framework for modeling intricate data structures and generating predictions based on them. Further elaboration on these applications can be found in references [7].

For  $\alpha \in H(D)$ , the multiplication operator on  $S_n$  is the linear operator given by

$$M_\alpha f = \alpha f, \text{ for all } f \in S_n.$$

For  $\beta \in F(D)$ , the composition operator on  $S_n$  is the linear operator defined by

$$C_\beta f = f(\beta(z)), \text{ for all } f \in S_n,$$

the weighted composition operator is the linear operator defined as follows

$$W_{\alpha, \beta} f = (M_\alpha C_\beta) f = \alpha f(\beta(z)), \text{ for all } f \in S_n.$$

Given two normed vector spaces  $X$  and  $Y$ , a linear isometry is a linear map  $T: X \rightarrow Y$  which preserves the norms,

$$\|Tx\|_Y = \|x\|_X$$

for all  $x \in X$ .

Recall that the resolvent of a bounded linear operator  $T$  on a complex Banach space  $E$  is defined as

$$\text{res}(T) := \{r \in \mathbb{C} : T - rI \text{ is invertible}\},$$

where  $I$  is the identity operator. The spectrum of  $T$  is defined as

$$\text{spec}(T) := \mathbb{C} \setminus \text{res}(T).$$

It follows from the Neumann series expansion that the spectrum is a non-empty compact subset of the closed disk centered at the origin of radius  $\|T\|$ . In particular, the spectrum of an isometry is contained in  $\bar{D}$  (see [8]). In fact, the spectrum of an operator on Banach spaces has various applications in several scientific fields. For instance, in quantum mechanics, the spectrum of an operator corresponds to the possible values of a physical observable. Also, in engineering, operators and spectra are often used in areas like signal processing, control systems, and optimization. More details can be found in [9] and [10].

## 2. The spectra of the multiplication operators on $S_n$

In [11], the authors characterize the spectrum of the multiplication operators on  $S_1$  which is the Bloch space. In this section, we show a similar result for all spaces  $S_n$ .

The following findings are needed to prove the primary result in this section.

**Proposition 2.1** ([1], Proposition 3.1) The multiplication operator  $M_\alpha$  on  $S_n$  is bounded if and only if  $\alpha \in S_n$ , for all  $n \geq 3$ .

Similar results are proven for  $n = 1, 2$ . In particular,  $M_\alpha$  on  $S_n$  is bounded if and only if  $\alpha \in S_n \cap B_{n \log}$ , for  $n = 1, 2$ , where  $B_{\log}$  is the logarithmic Bloch space, see ([12], Corollary 2.5) and ([13], Theorem 3.1).

**Theorem 2.2** ([1], Theorem 5.2) For  $n \in \mathbb{N}$ , if the weighted composition operator  $W_{\alpha, \beta}$  on  $S_n$  is bounded, then it is invertible if and only if  $\alpha$  is bounded away from zero and  $\beta$  is automorphism of . The inverse is also a weighted composition operator on  $S_n$  such that

$$W_{\alpha, \beta}^{-1} = W_{\frac{1}{\alpha\beta^{-1}}, \beta^{-1}}.$$

In particular, the composition operator  $C_\beta$  is invertible on  $S_n$  if and only if  $\beta$  is an automorphism on , and the multiplication operator  $M_\alpha$  on  $S_n$  is invertible if and only if  $\alpha$  is bounded away from zero such that

$$C_\beta^{-1} = C_{\beta^{-1}},$$

and

$$M_\alpha^{-1} = M_{1/\alpha}.$$

**Theorem 2.3** ([14], Theorem 2.4) For  $n \in \mathbb{N}$ , the surjective bounded multiplication operator  $M_\alpha$  acting on  $S_n$  is isometric if and only if  $\alpha$  is a constant of modulus 1.

The following result is to extend Theorem 4.1 in [11].

**Theorem 2.4** Let  $M_\alpha$  be a bounded multiplication operator on  $S_n$ ,  $n \in \mathbb{N}$ . Then

$$\text{spec}(M_\alpha) = \overline{\alpha(D)},$$

where  $\alpha(D)$  is the set of values of  $\alpha$ .

**Proof.** Clear that the operator  $M_{\alpha-r}$  is equivalent to  $M_\alpha - rI$  for any constant  $r$ . Thus  $r \in \text{spec}(M_\alpha)$  if and only if  $M_{\alpha-r}$  is not invertible.

Suppose that  $M_{\alpha-r}$  is invertible, then by Theorem 2.2

$$(M_{\alpha-r})^{-1} = M_{\frac{1}{\alpha-r}}.$$

Let  $r \in \alpha(\mathbb{D})$ , then  $\alpha(r_0) = r$  for some  $r_0 \in \mathbb{D}$ . It means that  $(M_{\alpha-r})^{-1}$  is not well defined since  $\frac{1}{\alpha-r}$  has a pole at  $r_0$ .

Hence,  $\overline{\alpha(D)} \subset \text{spec}(M_\alpha)$ , since  $\partial(\text{spec}(M_\alpha)) \subset \text{spec}(M_\alpha)$  ([13], Proposition 6.7).

On the other hand, suppose that  $r \notin \overline{\alpha(D)}$ , then  $\alpha(z) - r \neq 0$  for all  $z \in D$ . Thus,  $|\alpha - r| > c$  for some constant  $c > 0$ .

Let  $G(z) = \frac{1}{\alpha(z) - r}$ , then  $G(z) \in H^\infty(D)$ . For  $z \neq r$ , let  $H(z) = \frac{1}{z - r}$ , then by applying Faà di Bruno's formula on  $(H \circ \alpha)$ , we get

$$G^{(n)}(z) = (H \circ \alpha)^{(n)}(z) = \left( \frac{1}{\alpha(z) - r} \right)^{(n)} = \sum_{\lambda \vdash n} \frac{n!}{\lambda_1!(1!)^{\lambda_1} \dots \lambda_n!(n!)^{\lambda_n}} \left( \frac{(-1)^{|\lambda|} |\lambda|!}{(\alpha(z) - r)^{|\lambda|+1}} \right) \prod_{j=1}^n (\alpha^{(j)}(z))^{\lambda_j},$$

where  $\lambda \vdash n$  satisfies  $\sum_{j=1}^n j\lambda_j = n$ , and  $|\lambda| = \sum_{j=1}^n \lambda_j$ .

Since  $M_\alpha$  is bounded on  $S_n$ , then by Proposition 2.1,  $\alpha \in S_n$ , which means that  $|\alpha^{(n)}(z)| < \infty$ . Thus,

$$\begin{aligned} |G^{(n)}(z)| &\leq \sum_{\lambda \vdash n} \frac{n!}{\lambda_1!(1!)^{\lambda_1} \dots \lambda_n!(n!)^{\lambda_n}} \left( \frac{|\lambda|!}{|\alpha(z) - r|^{|\lambda|+1}} \right) \prod_{j=1}^n |\alpha^{(j)}(z)|^{\lambda_j} \\ &\leq \sum_{\lambda \vdash n} \frac{n!}{\lambda_1!(1!)^{\lambda_1} \dots \lambda_n!(n!)^{\lambda_n}} \left( \frac{|\lambda|!}{c^{(|\lambda|+1)}} \right) \prod_{j=1}^n |\psi^{(j)}(z)|^{\lambda_j} < \infty. \end{aligned}$$

That means that  $G$  belongs to  $S_n$ , therefore,  $M_G = M_{\frac{1}{\alpha-r}}$  is bounded on  $S_n$ . Thus,  $r \notin \text{spec}(M_\alpha)$ . Hence

$$\text{spec}(M_\alpha) = \overline{\alpha(D)}.$$

□

The next is an immediate consequence of Theorem 2.3 and Theorem 2.4.

**Corollary 2.5** Let  $M_\alpha$  be a surjective isometric multiplication operator on  $S_n$ ,  $n \in \mathbb{N}$ . Then

$$\text{spec}(M_\alpha) = \{k\},$$

where  $k$  is the unimodular constant value of  $\alpha$ .

### 3. The spectrum of weighted composition operators on $S_n$

The authors of [11] characterize the spectrum of weighted composition operators on  $S_1$ . We provide a more specific description of the spectrum of weighted composition operators on  $S_n$ , for  $n \geq 2$ .

We state the following findings that are needed for the main result of this section.

**Proposition 3.1** ([11], Proposition 5.1) Let  $A$  be a complex Banach space and suppose  $T : A \rightarrow A$  is an isometry. If  $T$  is invertible, then  $\text{spec}(T) \subset \partial D$ . If  $T$  is not invertible, then  $\text{spec}(T) = \overline{D}$ .

Let  $w \in \mathbb{C}$  with  $|w| = 1$ . The order of  $w$  denoted by  $\text{ord}(w)$  is defined to be the smallest  $m \in \mathbb{N}$  such that  $w^m = 1$ . We say that  $w$  has infinite order if there is no such  $m$ , and then we write  $\text{ord}(w) = \infty$ .

The following lemma is a modified generalization of Theorem 5.1 in [11].

**Lemma 3.2** Let  $C_\beta$  be a composition operator on  $S_n$  for  $n \in \mathbb{N}$ . If  $\beta(z) = wz$  with  $|w| = 1$ , then

$$\text{spec}(C_\beta) = \begin{cases} \partial D, & \text{if } \text{ord}(w) = \infty, \\ \langle w \rangle, & \text{if } \text{ord}(w) = m < \infty, \end{cases}$$

where  $\langle w \rangle$  is the cyclic group generated by  $w$ .

**Proof.** Note that  $C_\beta$  is invertible since  $C_\beta^{-1} = C_{\beta^{-1}} = C_{\frac{1}{w}}$ . By proposition 3.1,  $\text{spec}(C_\beta) \subset \partial D$ .

Since  $|w| = 1$ , then  $\langle w \rangle = \{w^j : j \in \mathbb{N} \cup \{0\}\} \subset \partial D$ . Let  $f(z) = z^j$  for  $j \in \mathbb{N} \cup \{0\}$ , then  $f \in S_n$  for all  $n \in \mathbb{N}$ . Thus

$$(C_\beta - w^j I)(f(z)) = f(\beta(z)) - w^j f(z) = w^j z^j - w^j z^j = 0,$$

which means that  $(C_\beta - w^j I)$  is not invertible since it is not injective. Thus  $w^j \in \text{spec}(C_\beta)$ . So

$$\langle w \rangle \subset \text{spec}(C_\beta) \subset \partial D$$

Since  $\text{spec}(C_\beta)$  is closed, then  $\overline{\langle w \rangle} \subset \text{spec}(C_\beta)$ .

If  $\text{ord}(w) = \infty$ , then  $\overline{\langle w \rangle} = \partial$  since  $\langle w \rangle$  is dense in  $\partial D$ . Hence  $\text{spec}(C_\beta) = \partial$ .

If  $\text{ord}(w) = m < \infty$ , then  $\langle w \rangle = \{w^j : j = 1, \dots, m\}$ . Let  $a \in \partial \setminus \langle w \rangle$ , then by the same argument of the proof of Theorem 5.1 in [9], we see that  $(C_\beta - aI)$  is invertible. Thus  $a \notin \text{spec}(C_\beta)$ . Hence  $\text{spec}(C_\beta) = \langle w \rangle$ . □

**Theorem 3.3** Let  $W_{\alpha, \beta}$  be a bounded weighted composition operator on  $S_n$ ,  $n \in \mathbb{N}$ . If  $\beta(z) = wz$  with  $|w| = 1$  and  $\beta$  is a constant of modulus 1. Then

$$\text{spec}(W_{\alpha, \beta}) = \begin{cases} \partial D, & \text{if } \text{ord}(w) = \infty, \\ \bar{k}\langle w \rangle, & \text{if } \text{ord}(w) = m < \infty, \end{cases}$$

where  $k$  is the unimodular constant value of  $\alpha$ , and  $k\langle w \rangle = \{kw^j : j = 1, \dots, m\}$ .

**Proof.** Note that  $W_{\alpha, \beta} = kC_\beta$ . For  $r \in \mathbb{C}$ , since  $|k| = 1$ , then  $W_{\alpha, \beta} - rI = kC_\beta - rI = k(C_\beta - \bar{k}rI)$ . Thus  $W_{\alpha, \beta} - rI$  is not invertible if and only if  $C_\beta - \bar{k}rI$  is not invertible. Thus,  $r \in \text{spec}(W_{\alpha, \beta})$  if and only if  $\bar{k}r \in \text{spec}(C_\beta)$ . Hence, the desired result follows from the previous lemma.  $\square$

## 4. Conclusion

In conclusion, this study delves into the spectral properties of multiplication operators on weighted Banach spaces of analytic functions over the unit disk. Through our investigation, we provide comprehensive insights into the spectra of weighted composition operators within these designated spaces. By shedding light on the spectral behavior of these operators, our research contributes to a deeper understanding of the structural nuances within function spaces, thereby facilitating advancements in various fields reliant on analytic function theory.

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