



# Preparation and Application of Garnet Electrolyte Thin Films: Promise and Challenges

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**Abstract:** All-solid-state batteries (ASSBs) have attracted much attention in recent years, due to their high energy density, excellent cycling performance, and superior safety property. As the key factor of all-solid-state batteries, solid electrolyte determines the performance of the batteries. Garnet-typed cubic-Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub>(LLZO) has been reported as the most promising solid electrolyte on the way to ASSBs. Thin film electrolyte could contribute to a higher energy density and a lower resistance in a battery. This short review exhibits the latest efforts on LLZO thin film and discusses the different preparation methods, together with their effects on characteristics and electrochemical performances of the solid electrolyte film.

**Keywords:** lithium batteries, all solid-state batteries, solid electrolytes, garnets, LLZO

## 1. Introduction

After being commercialized by Sony in 1991, Lithium-ion batteries (LIBs) have gradually become one of the popular energy storage devices, and have widespread applications in electronic devices, electric vehicles and smart grid systems.<sup>[1-3]</sup> However, the conventional LIBs based on flammable organic liquid electrolytes, faced the inherent safety issues of leakage, fire, and explosion, especially in the development of large-scale LIBs<sup>[4,5]</sup>.

Compared with the traditional lithium ion batteries, all-solid-state batteries (ASSBs) have attracted much attention in recent years, due to the high energy density, excellent cycling performance and serious safety. The replacement of flammable organic liquid electrolytes by inorganic materials in ASSB limited the safety issues.<sup>[6]</sup> In addition, different from the liquid electrolytes, the solid electrolytes have a wider stable electrochemical window and the inhibition of lithium dendrite penetration. Lithium metal anode and high voltage cathode are befitting electrode materials in ASSB, which result in a higher energy density of lithium battery<sup>[7-10]</sup>.

Solid electrolyte is the key factor of all-solid-state batteries, which determines the performance of the batteries. Among the reported solid electrolytes, sulfide-based electrolytes show the highest ionic conductivity. According to the reports, the value of sulfide-based electrolytes ionic conductivity was as high as 10<sup>-2</sup> S cm<sup>-1</sup>, some results even to 10<sup>-1</sup> S cm<sup>-1</sup>, the values were comparable to or higher than traditional liquid electrolytes<sup>[11,12]</sup>. However, the sulfide-based electrolytes are not stable in the air condition. On the contrary, the oxide solid electrolytes showed more stabilities than sulfide-based electrolytes<sup>[2]</sup>. In the numerous oxide solid electrolyte, garnet-typed cubic Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub> (LLZO) has a relatively higher ionic conductivity, wider electrochemical window and more excellent stability against lithium metal. It is reported as the most promising solid electrolyte among the oxide solid electrolyte<sup>[1,10,13]</sup>.

However, the development of solid electrolyte is restricted by some challenges, such as its low ionic conductivity and large interfacial resistance. Lots of works focused on these issues have been reported to improve the above restrictions, such as the improvement of ionic conductivity by elements doping<sup>[14-16]</sup>, the enhancement of density of LLZO pellet by improving the sintering technology<sup>[17-19]</sup>, the alleviation of interface issues by changing the contact<sup>[20-22]</sup> or adding a buffer layer<sup>[23,24]</sup>.

Elements doping is a significant way to improve ionic conductivity. According to the difference of dopant site, there are three doping sites in the material of LLZO<sup>[25]</sup>. In mass of element doping works, Al<sup>3+</sup>/Ga<sup>3+</sup> doped<sup>[26-28]</sup> on Li site, W<sup>6+</sup>/Ta<sup>5+</sup>/Y<sup>3+</sup> resided Zr site<sup>[29-32]</sup> and some multiple element doping showed obvious efforts. For example, Li<sub>6.4</sub>La<sub>3</sub>Zr<sub>1.4</sub>Ta<sub>0.6</sub>O<sub>12</sub><sup>[33]</sup> and Li<sub>6.20</sub>Ga<sub>0.3</sub>La<sub>2.95</sub>Rb<sub>0.05</sub>Zr<sub>2</sub>O<sub>12</sub><sup>[14]</sup> showed the highest ionic conductivity, over 10<sup>-3</sup> S cm<sup>-1</sup> at room temperature.

Another method to increase the ionic conductivity value is increasing the density of LLZO pellet, which involving the solid state sintering technology<sup>[34]</sup>. Rangasamy et al.<sup>[35]</sup> used the hot press technique to sinter LLZO pellet. The  $\text{Li}_{6.24}\text{La}_3\text{Zr}_2\text{Al}_{0.24}\text{O}_{11.98}$  pellet was heated at 1000 °C under 40 MPa pressure for 1 h and showed an ionic conductivity of  $4 \times 10^{-4} \text{ S cm}^{-1}$  with a relative density of 98%. Later, the hot isostatic pressing was introduced in sintering LLZO. With this method, the density of the Al-doped LLZO pellet was increased from 91.5% to 99.1%, which contributed a high ionic conductivity of  $9.9 \times 10^{-4} \text{ S cm}^{-1}$  at 25 °C<sup>[19]</sup>. Moreover, fast microwave-assisted sintering<sup>[36]</sup> and spark plasma sintering<sup>[37]</sup> were discovered in the preparation of LLZO bulk materials. The Ta-doped LLZO pellet, obtained by spark plasma sintering technique, showed the ionic conductivity of  $1.35 \times 10^{-3} \text{ S cm}^{-1}$  at 25 °C and  $1.23 \times 10^{-2} \text{ S cm}^{-1}$  at 80 °C<sup>[37]</sup>. In addition, many fillers materials, such as  $\text{Li}_2\text{O}$ <sup>[38]</sup>,  $\text{Li}_3\text{PO}_4$ <sup>[39]</sup>,  $\text{Li}_4\text{SiO}_4$ <sup>[40]</sup>,  $\text{LiBO}_3$ <sup>[41]</sup>,  $\text{LiF}$ <sup>[42]</sup>, were added into the LLZO powder during the sintering process, which method decreased the grain-boundary resistance successfully.

Interface issues, the key factor of ASSBs, limited the applications of solid state electrolyte<sup>[43]</sup>. The causes of interface issues in ASSBs could be divided into different parts. First, compared with the liquid-solid contact in conventional lithium battery, ASSBs had less active sites due to the solid-solid contact between electrode and electrolyte<sup>[44-46]</sup>. Second, the space charge layer caused by the potential difference was existing in the interface<sup>[47-49]</sup>. Third, the volume expansion of electrode materials made the contact worse and worse during the charging-discharging cycles<sup>[50]</sup>. Last, the elements diffusion rested in the interface by reason of the concentration difference<sup>[51]</sup>. Kim et al.<sup>[52]</sup> investigated the interfacial issue of  $\text{LiCoO}_2/\text{LLZO}/\text{Li}$  by the TEM characterization of the interface. The result showed the formation of an intermediate caused by the vary elements diffusion, which contributed to the high interfacial resistance and poor lithium insertion-extraction behavior. Kato et al.<sup>[53]</sup> modified the interface by the deposition of a thin Nb layer. A low resistivity-amorphous layer of  $\text{Li-Nb-O}$  formed in the interface, which avoided the influences of elements diffusion and made a lower space charge layer. Luo et al.<sup>[54]</sup> improved the contact issue between LLZO and lithium metal by coating an ultrathin amorphous Si layer. During the cycling, the layer transformed to a super-lithiophilicity, which contributed to a lower interface resistance.

Owing to the progresses above, preliminary results of the LLZO applications in ASSB have been achieved. Ohta et al.<sup>[55]</sup> reported an application of Nb-doped LLZO pellet in the  $\text{Li}/\text{LLZONb}/\text{LCO}$  solid state battery. In the work, the excellent cycling performance of the cell was attributed to a well contact interface. They deposited cathode LCO on the LLZONb pellet by the method of pulsed laser deposition (PLD), which contributed to the well contact between the solid electrolyte layer and the cathode layer. Later, for element doping and high relative density of Ta-doped LLZO, Guo et al.<sup>[33]</sup> showed a high ionic conductivity of LLZTO pellet, as high as  $1.6 \times 10^{-3} \text{ S cm}^{-1}$ , which was the key factor of the all-solid-state battery performance at high temperature. Li et al.<sup>[42]</sup> introduced LiF to  $\text{Li}_{6.5}\text{La}_3\text{Zr}_{1.5}\text{Ta}_{0.5}\text{O}_{12}$  (LLZTO) to increase the stability and the electrolyte showed a small interfacial resistance with Li anode. The LLZTO pellet was applied both in the  $\text{LFPO}/\text{Li}$  and  $\text{Li-S}$  battery. Recently, Kun (Kelvin) Fu et al.<sup>[56]</sup> prepared the garnet electrolyte of  $\text{Li}_7\text{La}_{2.75}\text{Ca}_{0.25}\text{Zr}_{1.75}\text{Nb}_{0.25}\text{O}_{12}$  and modified the electrolyte/metallic Li interface with an ultrathin Al layer. By this method, a good Li wetting lied in the interface and got more stable performances in Li-ion, Li-sulfur, and Li-oxygen batteries.

After the first report of LLZO<sup>[57]</sup>, most of the researches were focused on the LLZO bulk ceramic electrolyte, only a few work have been made to the LLZO thin film. Compared to the bulk ceramic electrolyte, thin film has one advantage. The lithium diffusion time will be decreased a lot. The lithium diffusion time  $t$  and the thickness of electrolyte layer  $L$  are proportional to the square, ( $D$ , diffusion constant). When  $L$  is reduced, it is more largely reduced<sup>[9]</sup>.

According to the previous reports, LLZO has been applied in thin film as solid electrolyte. This review will summarize the preparation methods, characteristics and electrochemical performances of LLZO thin film. Section 2 focused on different methods of deposition. The method and as-prepared thin film of sol-gel method covered in Section 3. Section 4 showed an LLZO film and its applications by focused ion beam milling. The last Section discussed the LLZO composite electrolyte thin film and the characteristics of ASSBs.

## 2. Methods of deposition

Deposition methods were the intuitionistic way of preparing thin films. This section discusses the five kinds of deposition method: Pulsed laser deposition (PLD), Radio-frequency (RF) magnetron sputtering, Chemical vapor deposition (CVD), Atomic layer deposition (ALD) and Aerosol deposition (AD) method.

### 2.1 Pulsed laser deposition (PLD)

Pulsed laser deposition (PLD) was firstly carried out as a method to prepare LLZO films in the year of 2012<sup>[59,60]</sup>. The prepared amorphous LLZO had a large optical band gap of 5.13 eV, and the value was reduced to 3.64 eV after the treatment of annealing<sup>[59]</sup>. With a Laser-annealing treatment, the ionic conductivity was increased from  $3.35 \times 10^{-7} \text{ S cm}^{-1}$

to  $7.36 \times 10^{-7} \text{ S cm}^{-1}$  [60]. Rawlence et al. [61] prepared LLZO film on the MgO substrate by PLD technique (Fig. 1c), with a thickness of 380 nm. The film showed an ionic conductivity of  $1.2 \times 10^{-3} \text{ S cm}^{-1}$  at 325 °C. Reinacher et al. [62] reported that garnet-type  $\text{Li}_6\text{BaLa}_2\text{Ta}_2\text{O}_{12}$  thin films were prepared on MgO (100) single crystals by the way of pulsed laser deposition.

The ionic conductivity of the film was  $1.7 \times 10^{-6} \text{ S cm}^{-1}$  at room temperature, which was a little lower than the bulk value

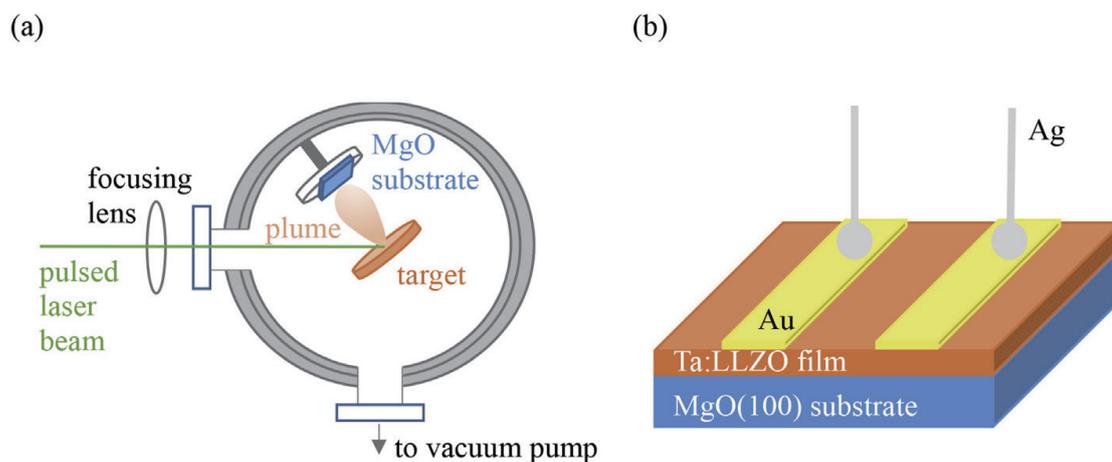


Figure 1. (a) Schematic of the deposition process (b) Experimental set-up for the conductivity measurements [58]

of  $5.0 \times 10^{-6} \text{ S cm}^{-1}$ . PLD technique was also successfully used in deposition of epitaxial LLZO film [63]. On the  $\text{Gd}_3\text{Ga}_5\text{O}_{12}$  (GGG) substrate, LLZO (001) and LLZO (111) were obtained. At room temperature, the ionic conductivities in the grains of the (001) and (111) films were  $2.5 \times 10^{-6} \text{ S cm}^{-1}$  and  $1.0 \times 10^{-5} \text{ S cm}^{-1}$ . On the basis work [64] of preparing the LLZO film on AAO (Fig. 2d & 2e), Park et al. [65] studied the effects of substrate temperatures and impurities on electrochemical properties of the film, which was prepared by PLD method. In the work, the film was deposited both on Si and AAO substrate and the SEM of the section in figure 2a and figure 2b. The result showed that the film was in a mixture of cubic and tetragonal phases of LLZO in the condition of deposition temperature above 600 °C. Figure 2b also revealed the upper region of the film was rich in aluminum and oxygen by an EDS scan along the dotted line. In the EDS analysis, the counts of aluminum element in this region was comparable to the AAO substrate.

The film, deposited at 600 °C, exhibited the highest room temperature conductivity of  $1.61 \times 10^{-6} \text{ S cm}^{-1}$ . Saccoccio et al. [58] studied the low temperature PLD method in fabricating pure cubic LLZO film on MgO (100) substrates. According to the work, having a range deposition temperature from 50 °C to 700 °C, the ionic conductivities of the films were not significantly influenced.

## 2.2 Radio Frequency (RF) magnetron sputtering

Radio-frequency (RF) magnetron sputtering is also a common technique for preparing films. Kalita et al. [69] firstly deposited amorphous lithium-lanthanum-zirconium-oxide (Li-La-Zr-O) film by the method of RF magnetron sputtering. The thickness of films could be changed by varying the RF power. The thickness, which could be seen in Figure 3a-3c, were 561 nm at 40 W, 861 nm at 60 W and 941 nm at 80 W. The film deposited at 40 W showed the highest ionic conductivity of  $4 \times 10^{-7} \text{ S cm}^{-1}$ , compared with the 60 W and 80 W samples which showed the ionic conductivity values of  $2 \times 10^{-7} \text{ S cm}^{-1}$  and  $0.8 \times 10^{-7} \text{ S cm}^{-1}$  respectively.

Compared with the amorphous lithium-lanthanum-zirconium-oxide (Li-La-Zr-O) film work [69], Lobe et al. [70] deposited Ta- and Al-substituted LLZO thin films on stainless steel substrates by radio-frequency (RF) magnetron sputtering at high temperature. According to the result of depositions at different temperatures, LLZO formation started at around 650 °C and single phase cubic thin films were obtained at 700 °C. Figure 3d and Figure 3e showed the films at the deposition temperature of 700 °C and 800 °C. The film showed the ionic conductivity values of  $1.2 \times 10^{-4} \text{ S cm}^{-1}$  (in-plane, Fig 2f) and  $2.0 \times 10^{-9} \text{ S cm}^{-1}$  (perpendicular to the plane).

## 2.3 Chemical vapor deposition (CVD)

This part mainly covers two components: metal organic chemical vapor deposition (MOCVD) and laser assisted chemical vapor deposition (LACVD).

As a technique for the preparation of thin films, MOCVD technique shows advantages on high deposition rates and moderate vacuum conditions. In addition, the morphology and phase formation can be controlled during the process of

preparation of films by the MOCVD method. Equipped with the experience of the LCO film, Katsui et al. [71] prepared cubic and tetragonal LLZO film on polycrystalline Al<sub>2</sub>O<sub>3</sub> by metal organic chemical vapor deposition with a high rates of 20  $\mu\text{m h}^{-1}$ . Additionally, they investigated the influence of deposition temperature ( $T_{\text{sub}}$ ), a key factor in the process, on the

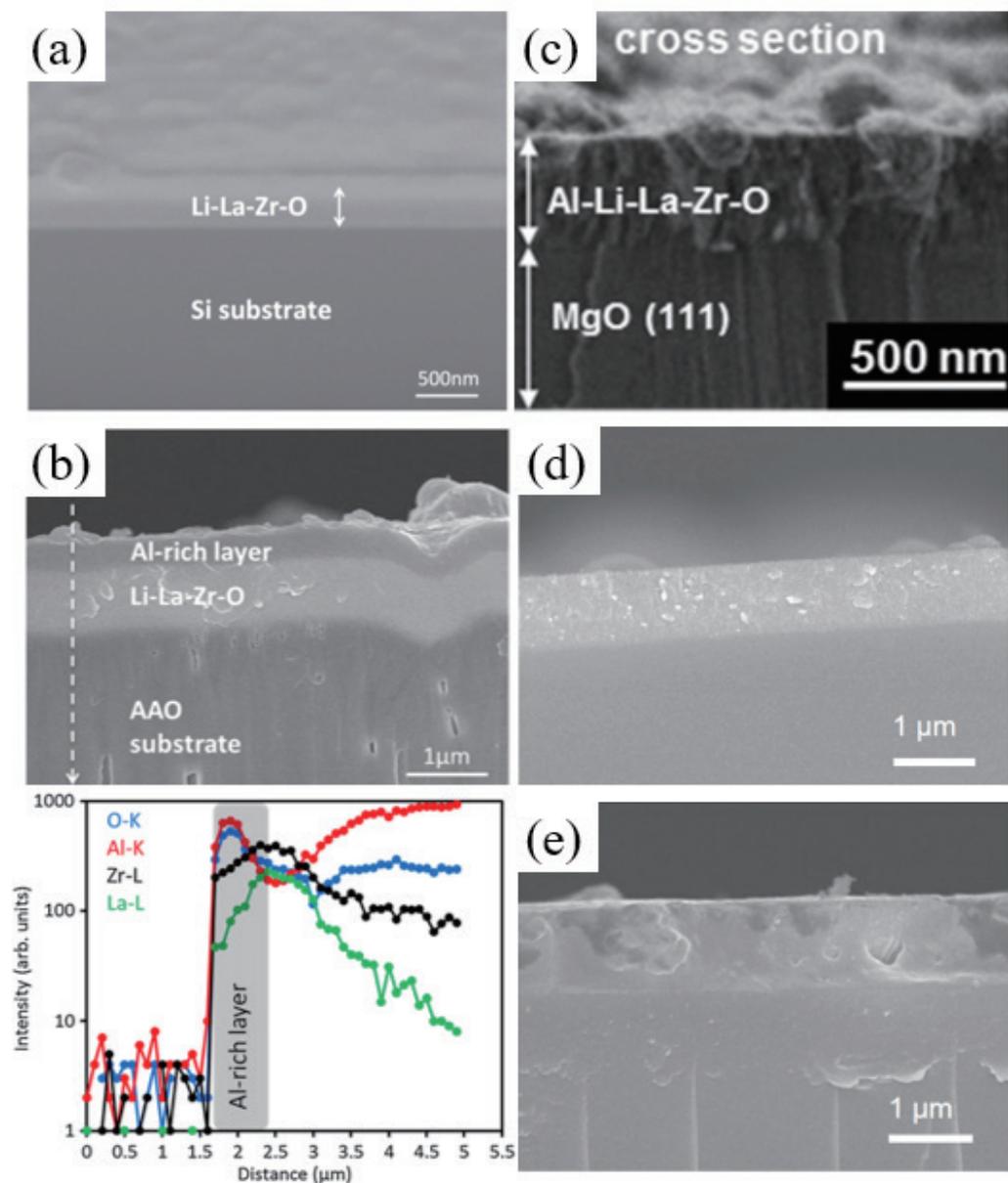


Figure 2. (a) SEM cross-section image of a 200 nm thick Li-La-Zr-O film<sup>[65]</sup>; (b) SEM image of a polished cross section of the Li-La-Zr-O film deposited on an AAO substrate at 700 °C and the corresponding EDS profile along the indicated line<sup>[65]</sup>; (c) An as-deposited Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub> based thin film deposited on a single crystal MgO substrate at 50 °C by PLD, cross-sectional SEM micrograph<sup>[61]</sup>; (d)-(e) Cross sectional SEM of LLZO films<sup>[64]</sup>: (d) sapphire substrate at room temperature; (e) sapphire substrate at 700°C

**Table 1.** List of the garnet type films by the PLD technique with an outline of the material, year, substrate, thickness, room temperature ionic conductivity and activation energy

Material	Year	Substrate	Thickness	RT $\sigma_{Li}$ [ $S\ cm^{-1}$ ]	$E_a$ [eV]	Ref.
$Li_{6.4}La_3Zr_{1.4}Ta_{0.6}O_{12}$	2017	MgO (100)	~40 nm	-	-	[58]
$Li_7La_3Zr_2O_{12}$	2012	STO (100)	-	$7.36 \times 10^{-7}$	0.32	[60]
		Sapphire (0001)	-	$3.35 \times 10^{-7}$	0.36	[59]
Al- $Li_7La_3Zr_2O_{12}$	2013	AAO	1000 nm	-	-	[66]
Al- $Li_7La_3Zr_2O_{12}$	2016	MgO (100)	90-380 nm	$1.2 \times 10^{-3}$	1.39	[67]
$Li_6BaLa_2Ta_2O_{12}$	2014	MgO (100)	~200 nm	$2 \times 10^{-6}$	0.42	[62]
		GGG (001)	26.2 nm	$2.5 \times 10^{-6}$	0.55	[68]
Al- $Li_7La_3Zr_2O_{12}$	2013	GGG (111)	30.4 nm	$1.0 \times 10^{-5}$	0.52	[65]
		MgO (100)	100–200 nm	$1.61 \times 10^{-6}$	0.35	

formation of phase, morphology and deposition rates. When  $T_{sub}$  was 973 K, a pyrochlore type  $La_2Zr_2O_7$  film having the (111) orientation was deposited. In the range from 1023 to 1173 K of  $T_{sub}$ , the phase of LLZO films was tetragonal. Cubic LLZO films with fine granular surface were obtained at  $T_{sub} = 1173$ -1223 K. Figure 5c and 5d showed the tetragonal LLZO film at 1073 K and the cubic LLZO film at 1223 K.

Loho et al. [72] prepared the tetragonal LLZO film on Pt layer by a novel  $CO_2$ -laser assisted chemical vapor deposition (LACVD) technique. The total ionic conductivity of the tetragonal LLZO film was  $4.2 \times 10^{-6}\ S\ cm^{-1}$  at 298 K with an activation energy of 0.50 eV, which was in good agreement with bulk ceramics tetragonal LLZO. In addition, the study presented that the deposition temperature and oxygen partial pressure had great effect on the quality of the LLZO film. In the condition of 973 K and 40%  $O_2$ , the film, in **Figure 5a**, showed homogeneous, high density and free of cracks. **Figure 5b** displayed the Arrhenius plot in the temperature range from 338 K to 298 K for the total Li-ion conductivity of the LLZO film. An exponential fit (black line) is applied to the data (red rhombs).

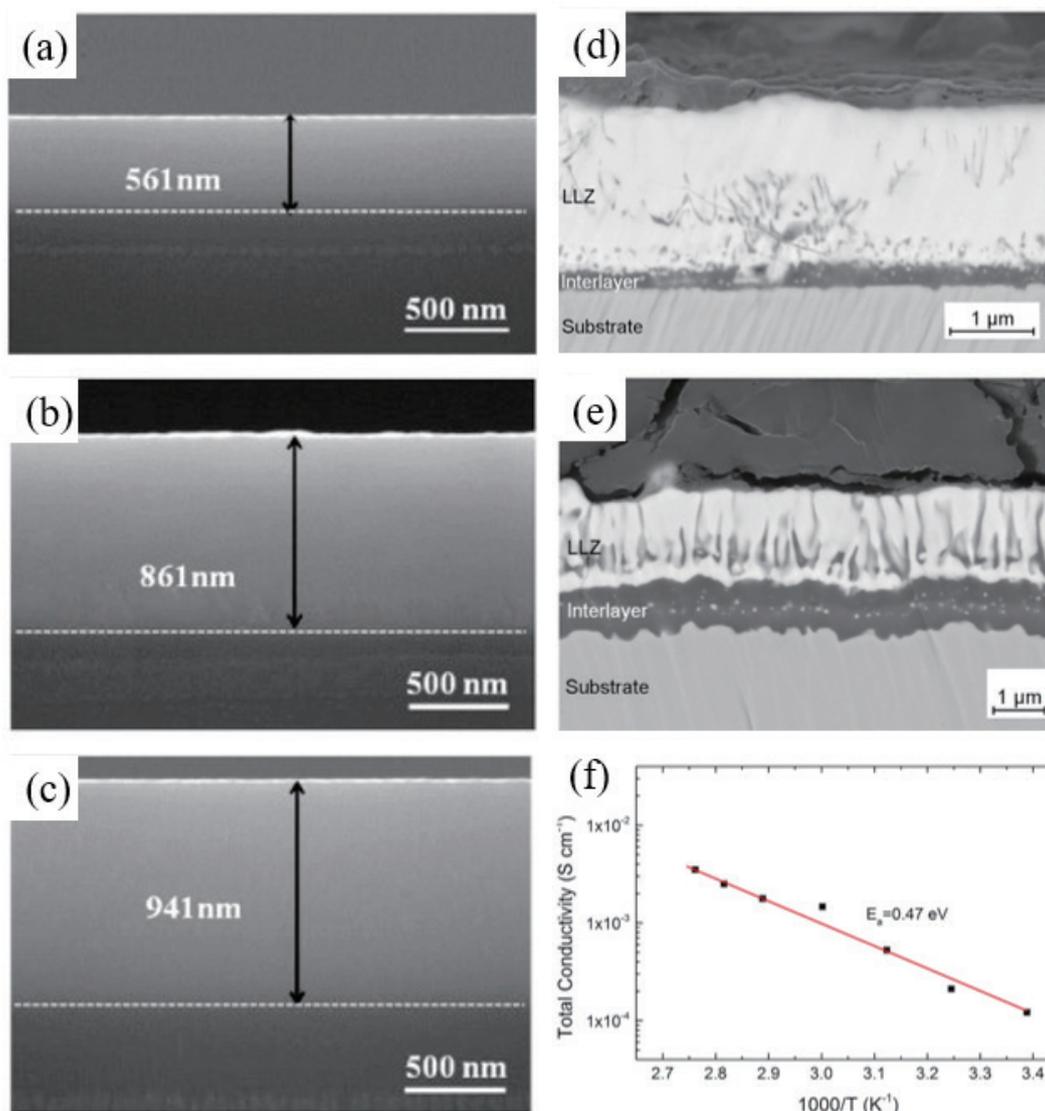


Figure 3. SEM images of the cross section (a, b, c)<sup>[69]</sup> of Li-La-Zr-O thin films deposited at 40 W (a), 60 W (b) and 80 W (c); (d)-(e) Microstructure of the thin films deposited at 700 °C and 800 °C<sup>[70]</sup> (d) cross-section, BSE-image, 700 °C, (e) cross-section, BSE-image, 800 °C; (f) Impedance data of thin film deposited at 700 °C, Li-ion conductivity  $\sigma$  in dependence of temperature T.

Table 2. Review of the literature on garnet type film by the RF technique with an outline of the material, year, substrate, thickness, room temperature ionic conductivity and activation energy

Material	Year	Substrate	Thickness	RT $\sigma_{Li}$ [ $S\ cm^{-1}$ ]	$E_a$ [eV]	Ref.
			561 nm	$4 \times 10^{-7}$	0.7	[69]
Li-La-Zr-O	2012	Si/SiO <sub>2</sub> wafer	861 nm	$2 \times 10^{-7}$	0.81	
			941 nm	$0.8 \times 10^{-7}$	0.87	
				$1.2 \times 10^{-4}$ (in-plane)	0.47	[70]
Li <sub>7</sub> La <sub>3</sub> Zr <sub>2</sub> O <sub>12</sub>	2016	Stainless steel	~1 $\mu$ m	$2.0 \times 10^{-9}$ (perpendicular to the plane)		

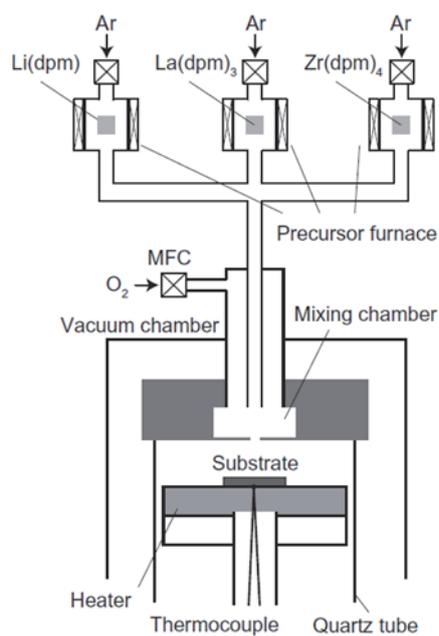


Figure 4. Schematic of a cold wall type MOCVD apparatus<sup>[71]</sup>

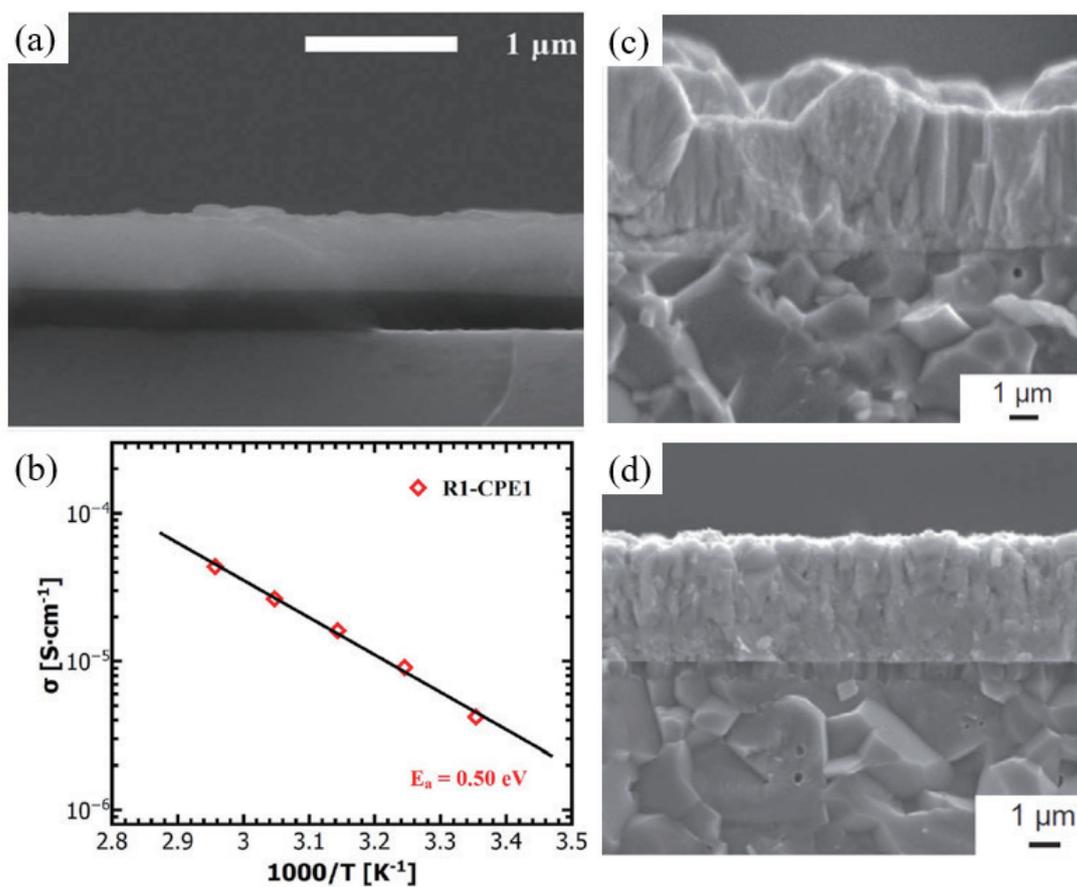
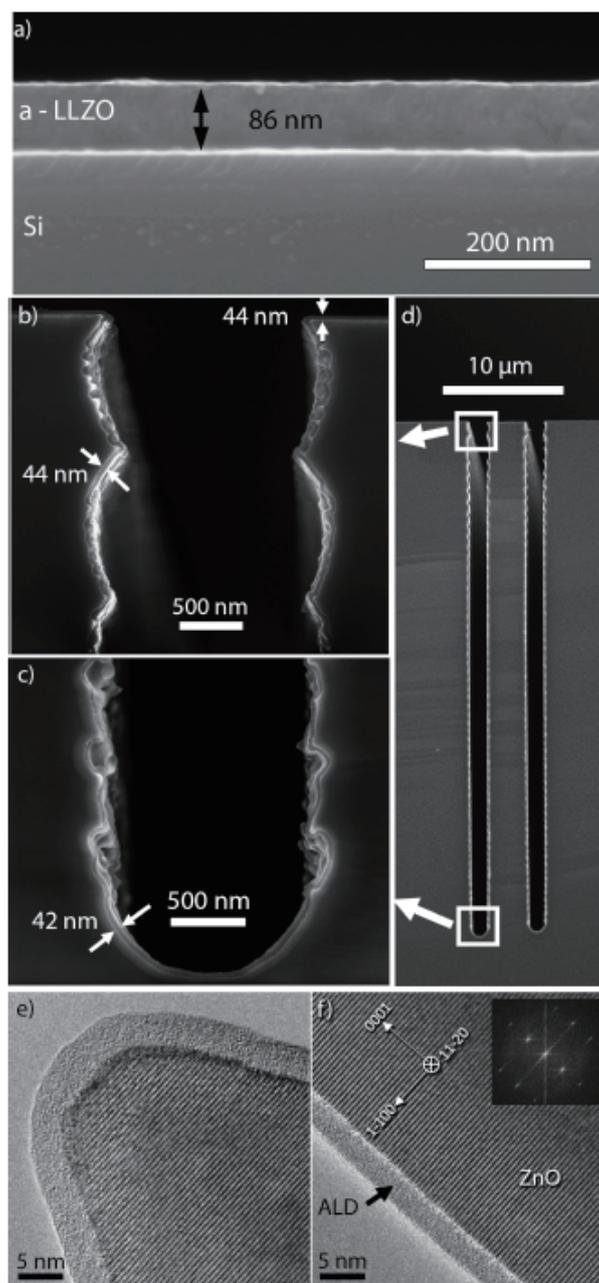


Figure 5. (a) Secondary electron micrographs of LLZO thin films deposited at 973 K and 40% O<sub>2</sub> on Si, shows the LLZO cross section<sup>[72]</sup>; (b) Arrhenius plot in the temperature range from 338 K to 298 K<sup>[72]</sup> (c)-(d) SEM images for Li-La-Zr-O films<sup>[71]</sup>; Cross-sectional morphologies of the tetragonal Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub> at 1073 K (c) and cubic Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub> at 1223 K (d) are also included

**Table 3.** Review of the literature on garnet type film by CVD technique with an outline of the material, year, substrate, thickness, room temperature ionic conductivity and activation energy

Material	Year	Substrate	Thickness	RT $\sigma_{Li}$ [ $S\ cm^{-1}$ ]	$E_a$ [eV]	Ref.
$Li_7La_3Zr_2O_{12}$	2017	Pt	850 nm	$4.2 \times 10^{-6}$	0.5	[73]
$Li_7La_3Zr_2O_{12}$	2015	Poly- $Al_2O_3$	$\sim 1\ \mu m$	-	-	[74]

## 2.4 Atomic layer deposition (ALD)



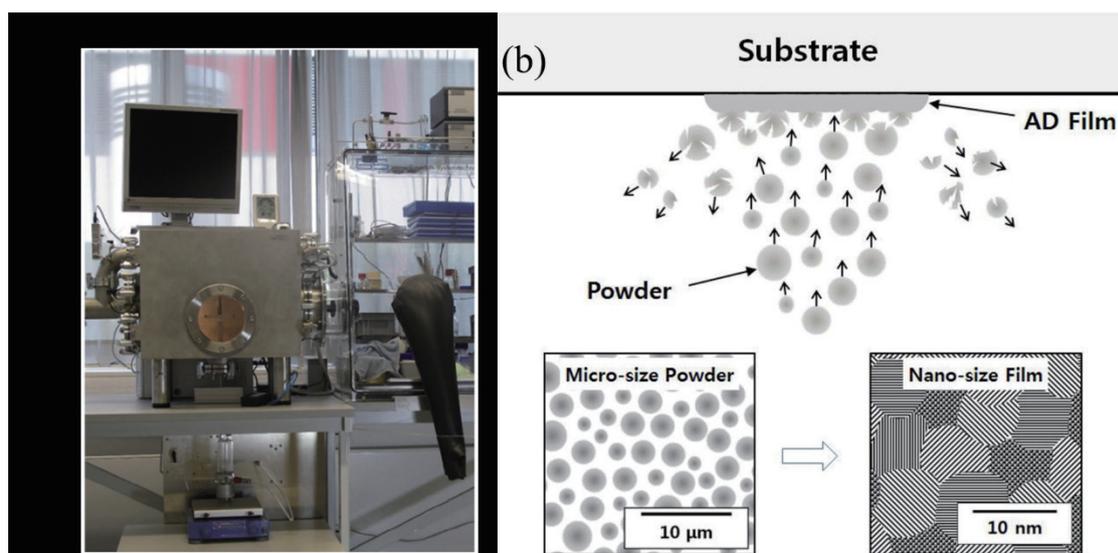
**Figure 6.** (a) SEM image showing as-deposited ALD LLZO film on a Si substrate, (b) SEM image showing the ALD film from the top of a Si trench shown in (c), (d) SEM image showing the ALD coating at the bottom of a Si trench, (e) TEM image showing the ultrathin conformal coating at the tip of a ZnO nanowire, and (f) TEM image showing a sharp film/wire interface, with electron diffraction (inset) showing the amorphous nature of film [77]

In the field of battery research, atomic layer deposition (ALD) has its applications in preparing electrode materials.

Atomic layer deposition provides an exciting opportunity for functional barrier layers in perovskite solar cells. Bent et al.<sup>[75]</sup> prepared an ALD SnO<sub>2</sub> contact layer as a “built-in” barrier layer on C<sub>60</sub> dramatically improves device stability over typical p-i-n devices with only organic electron transport layers, which resulted in superior barrier properties. In the field of lithium ion battery, Zhang et al.<sup>[76]</sup> prepared the modified the LiNi<sub>0.8</sub>Mn<sub>0.1</sub>Co<sub>0.1</sub>O<sub>2</sub>(NMC811) cathode by coating and subsequent infusion of the LPO solid-state electrolyte along the grain boundaries of secondary particles by atomic layer deposition. And the result demonstrated that the modified NMC811 layered cathode by ALD method can significantly enhance its structural and interfacial stability, and lead to the long-term cycle stability of both capacity and voltage. Atomic layer deposition has been applied to be a powerful technique for interfacial modification of Li-ion and Li-metal electrodes and for the deposition of solid electrolytes in complex 3D architectures<sup>[77]</sup>. Kazyak et al.<sup>[77]</sup> demonstrated a viable ALD process for depositing Al-doped LLZO(Li<sub>6.28</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub>Al<sub>0.24</sub>) films with a low amount of impurities, tunable composition, and self-limiting behavior. The film, **Figure 6a**, was prepared on the Si (1 0 0) substrate at a scale of 86 nm. In addition, ALD showed a good ability to deposit LLZO films on 3D-structured substrates, such as Si wafer in **Figure 6b-6d** and single-crystal ZnO nanowires in **Figure 6e-6f**. The Al-doped stoichiometric film had an ionic conductivity of  $7.8 \times 10^{-5}$  S cm<sup>-1</sup> at 200 °C and  $1.2 \times 10^{-6}$  S cm<sup>-1</sup> at 100 °C, giving an activation energy of 0.63 eV/atom and a calculated conductivity of approximately  $1 \times 10^{-8}$  S cm<sup>-1</sup> at 25 °C<sup>[77]</sup>.

## 2.5 Aerosol deposition (AD) method

Aerosol deposition (AD) films can be formed at room temperature and show dense microstructures having no annealing process which avoided the reaction between electrolytes and active materials. **Figure 7** exhibited the apparatus and the schematic diagram of AD process.



**Figure 7.** (a) Apparatus of AD process<sup>[78]</sup> (b) Schematic diagram of AD process<sup>[79]</sup>

Hanft et al.<sup>[78]</sup> fabricated a cubic garnet solid electrolyte Al<sub>y</sub>Li<sub>7-3y-z</sub>La<sub>3</sub>Zr<sub>2-z</sub>Ta<sub>z</sub>O<sub>12</sub> (ALLZTO) by the aerosol deposition method. The as-deposited films in **figure 8a** showed a thickness of ~10 μm and a reduced conductivity around  $2.0 \times 10^{-7}$  S cm<sup>-1</sup>. They studied a thermal post-treatment of the film, which revealed the morphological and the related impact on the ionic conductivity. According to the results, the film showed an ionic conductivity of  $2.0 \times 10^{-5}$  S cm<sup>-1</sup> at 400 °C and  $7.0 \times 10^{-5}$  S cm<sup>-1</sup> at 600 °C.

Ahn et al.<sup>[79]</sup> investigated the microstructure and ionic conductivity of LLZO aerosol deposition film. **Figure 8b** showed the SEM of the raw LLZO powder and the prepared LLZO AD film. In **figure 8b**, The LLZO aerosol deposition film, with a thickness of 20 μm, showed the dense microstructure which had nano-size grains. However, the ionic conductivity was  $1.0 \times 10^{-8}$  S cm<sup>-1</sup> at 140 °C.

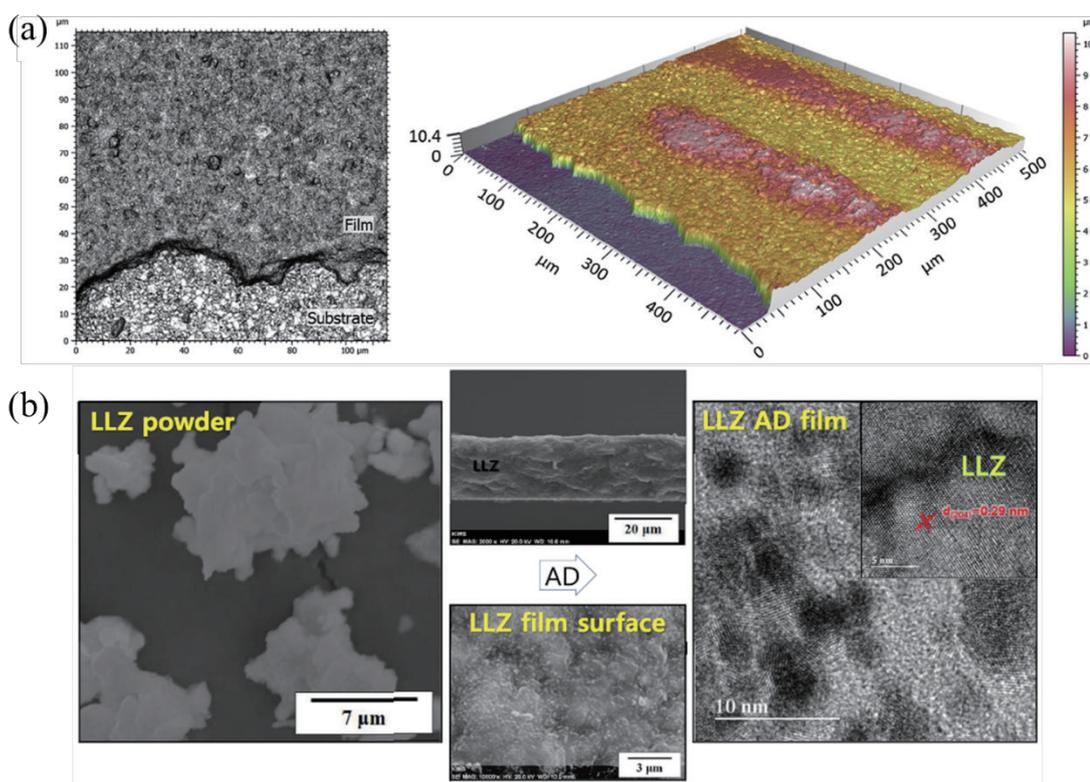


Figure 8. (a) 3D-Laser-Scanning image of ALLZTO film<sup>[78]</sup> (b) SEM and TEM images of LLZO powder and AD film<sup>[79]</sup>

### 3. Sol-gel method

With the harsh vacuum condition, compared with pulsed laser deposition and radio frequency, sol-gel method exhibits lots advantages on low cost, easy synthesis and flexible chemical components.

In the year of 2014, Chen et al.<sup>[80]</sup> prepared Li-La-Zr-O film on the Si substrate by a sol-gel spin coating method. In the work, they studied the effects of the annealing temperature and the number of layers on ionic conductivity of the films. With the annealing temperature increased from 600 °C to 800 °C, the ionic conductivity of the films decreased from  $1.67 \times 10^{-6} \text{ S cm}^{-1}$  to  $8.53 \times 10^{-7} \text{ S cm}^{-1}$ . The thickness of the films could be controlled by the number of layers. **Figure 9a** was the SEM images of cross section Li-La-Zr-O thin films with different layers. According to the result, by adding the layer from 2 to 12, the thickness increased from 130 nm to 538 nm.

Through a sol-gel dip-coating process, on the substrate of MgO, Tadanaga et al.<sup>[79]</sup> fabricated Al doped  $\text{Li}_7\text{La}_3\text{Zr}_2\text{O}_{12}$  using a precursor sol from Zr-alkoxide and Li, La and Al nitrates. After the heat-treatment at 900 °C, the LLZO film showed an ionic conductivity of  $2.4 \times 10^{-6} \text{ S cm}^{-1}$ .

Zarabian et al.<sup>[82]</sup> prepared Li-La-Zr-O film by sol-gel spin-coating method at a lower annealing temperature on 400 °C. The film was fabricated on the top surface of MgO (100) and  $\text{LiCoO}_2$  pellets. The thickness of the films was 760 nm on the substrate of MgO (100) and 3606.4 nm on the  $\text{LiCoO}_2$  pellets, which was in **Figure 9b** and **9c**. The X-ray photoelectron spectroscopy analysis of the interface between  $\text{LiCoO}_2$  and Li-La-Zr-O film showed that Co diffuses from the substrate toward the solid electrolyte.

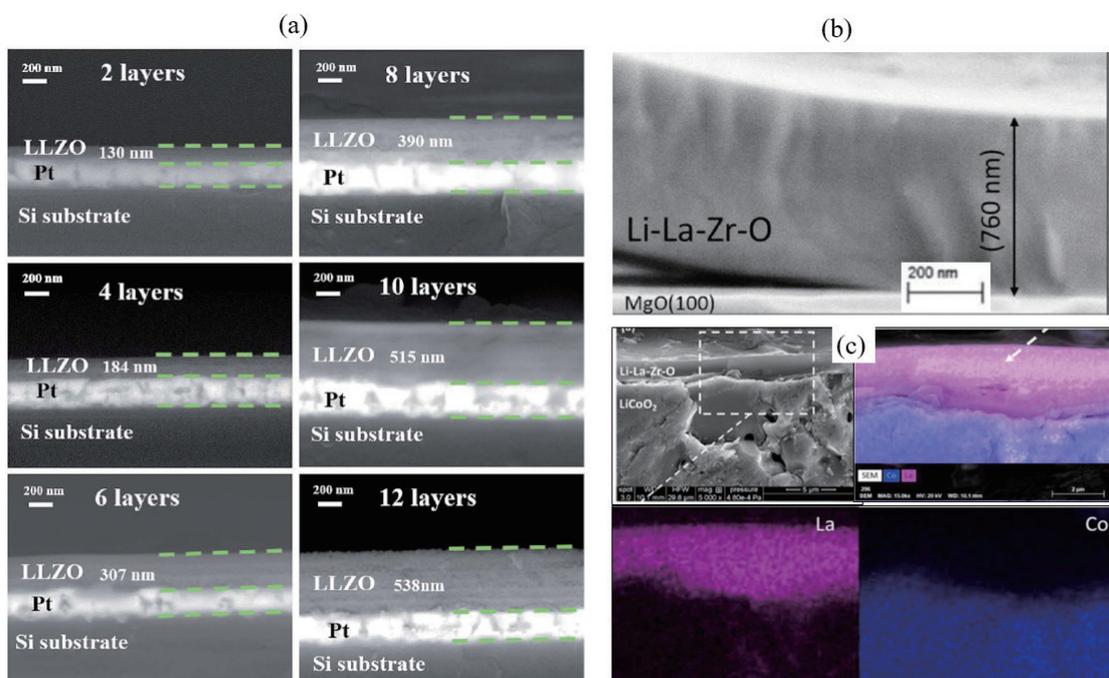


Figure 9. (a) SEM images of cross section Li-La-Zr-O thin films with different layers<sup>[80]</sup>; (b) cross section SEM image of a broken layer shows dense and crack-free layer on MgO<sup>[82]</sup>; (c) SEM cross section image of Li-La-Zr-O on LiCoO<sub>2</sub> substrate, along with mapping, showing dense electrolyte<sup>[82]</sup>

Table 4. Review of the literature on garnet type film by sol-gel technique with an outline of the material, year, substrate, thickness, room temperature ionic conductivity and activation energy

Material	Year	Substrate	Thickness	RT $\sigma_{Li}$ [ $S\ cm^{-1}$ ]	$E_a$ [eV]	Ref.
				$1.67 \times 10^{-6}$ at 600 °C;	0.18-0.21	[83]
Li-La-Zr-O	2014	Si	130-538 nm	$8.53 \times 10^{-7}$ at 800 °C		
Al-Li <sub>7</sub> La <sub>3</sub> Zr <sub>2</sub> O <sub>12</sub>	2015	MgO	-	$2.4 \times 10^{-6}$	-	[84]
Li-La-Zr-O	2017	MgO	760 nm	-	0.6	[85]

#### 4. Focused ion beam (FIB) milling

Focused ion beam milling is another way to prepare LLZO thin film. Gong et al.<sup>[86]</sup> reported a method by in-situ atomic-scale observation of electrochemical delithiation in all-solid-state battery. They constructed an all-solid-state LIB with a gold anode, a LiCoO<sub>2</sub> cathode, and Y and Ta doped LLZO (Li<sub>6.75</sub>La<sub>2.84</sub>Y<sub>0.16</sub>Zr<sub>1.75</sub>Ta<sub>0.25</sub>O<sub>12</sub>) as the solid-state electrolyte (thickness less than 2  $\mu\text{m}$ ) on a micro-electro-mechanical system (MEMS) device nano-chip using FIB milling (in Figure 10). Compared with the performance in liquid electrolyte batteries, they found that the pristine single crystal LiCoO<sub>2</sub> became nano-sized polycrystal connected by coherent twin boundaries and antiphase domain boundaries after high voltage delithiation<sup>[86]</sup>.

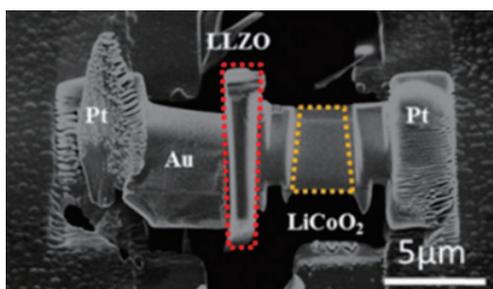


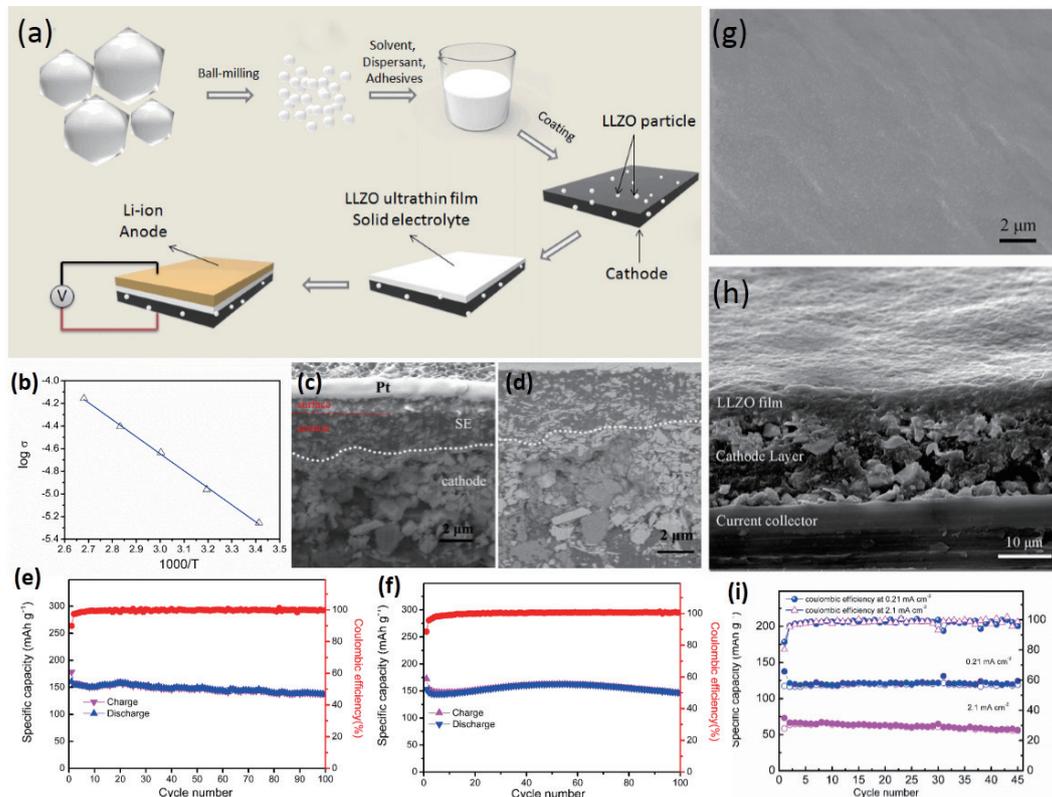
Figure 10. SEM image of the FIB fabricated battery on the nanochip to apply the electric field<sup>[86]</sup>

## 5. Composite electrolyte thin film

Due to the inherent characteristics, harsh preparation condition or some other reasons, none of the LLZO films, prepared by the methods in the above sections, has no applications or play a role in all solid state battery. This section highlights the LLZO composite electrolyte film, which has already come into use in ASSB.

The ionic conductivity of composite electrolyte was enhanced greatly after adding LLZO nano-particles or nano-wires, because the adding nano-sized LLZO took place in decreasing the crystallinity of polymer<sup>[87-89]</sup> and in providing more lithium ion pathway<sup>[90-93]</sup>. In addition, Liu et al.<sup>[94]</sup> found that well-aligned LLZO nanowires enhanced the ionic conductivity effectively. Zhang et al.<sup>[95]</sup> discussed the role of LLZO particles size on improving the conductivity. Mass of LLZO composite electrolytes have applied in all-solid-state battery<sup>[96-100]</sup>. According to the results, the thickness of LLZO composite electrolyte film was in the range from dozens to hundreds micron. For example: the work<sup>[95]</sup> of PEO:LLZTO membrane electrolytes showed a thickness of 40  $\mu\text{m}$ , with an ionic conductivities of  $2.1 \times 10^{-4} \text{ S cm}^{-1}$  at 30 °C and  $5.6 \times 10^{-4} \text{ S cm}^{-1}$  at 60 °C. The film showed good rate capability and cycle performance both with LFPO and LFMP-based cathodes. Chen et al.<sup>[97]</sup> fabricated a LLZTO composite membrane of 70  $\mu\text{m}$  thickness by hot pressing without any organic solvent. The  $\text{LiFePO}_4/\text{Li}$  batteries with “polymer-in-ceramic” electrolyte PEO-LLZTO-PEG-60 wt% LiTFSI delivered excellent cycling stability.

Yan et al.<sup>[101,102]</sup> introduced an easy method of tape casting the nano-particle slurry to prepare LLZO film with the thickness of several micrometers. The schematic illustration, in **Figure 11a**, showed all the steps of the process. The thickness of the film was about 3  $\mu\text{m}$  according to the SEM images in **Figure 11c** and **11d**. The ionic conductivity of film, showed in Figure 11b, was  $5.55 \times 10^{-6} \text{ S cm}^{-1}$  at 20 °C and  $6.96 \times 10^{-5} \text{ S cm}^{-1}$  at 100 °C. In the report, the LLZO was used as the solid electrolyte in the  $\text{Li}/\text{LLZO}/\text{LFPO}$  battery. As shown in **Figure 11e**, the cell showed a discharge capacity of 136.8  $\text{mAh g}^{-1}$  after 100 cycles at a rate of 0.1 C at room temperature. In **Figure 11f**, at 60 °C, the cell showed a discharge capacity of 146.2  $\text{mAh g}^{-1}$  after 100 cycles at 0.1 C, which was 99.4% of the second cycle. In addition, the LLZO film was also applied in the cell of  $\text{Li}/\text{LLZO}/\text{LCO}$ . **Figure 11g** and **Figure 11h** showed the surface and the section of solid electrolyte and cathode layer. The cycling performance of  $\text{Li}/\text{LLZO}/\text{LCO}$  at different rates was shown in **Figure 11i**.



**Figure 11.** (a) Schematic illustration of the synthesis procedure; (b) Arrhenius conductivity plots of LLZO film; (c) The SEM of the section of LFPO cathode layer and LLZO film; (d) The ESB of the section of LFPO cathode layer and LLZO film; (e) cycling performance and coulombic efficiency of  $\text{Li}/\text{LLZO}/\text{LFPO}$  at room temperature; (f) cycling performance and coulombic efficiency of  $\text{Li}/\text{LLZO}/\text{LFPO}$  at 60 °C; (a)-(f) in the reference<sup>[101]</sup> (g)-(i) in the reference (g) The SEM of the surface of LLZO film; (h) The SEM image of the section of cathode layer and LLZO film; (i) cycling performance and coulombic efficiency of  $\text{Li}/\text{LLZO}/\text{LCO}$ <sup>[102]</sup>

## 6. Summary and perspectives

In conclusion, different methods have been developed to prepare LLZO films by now. According to the results and data summarized in above sections, different methods have their own pros and cons. Deposition methods shows the advantages in thickness of LLZO film. Especially, the films prepared by PLD and ALD show the best results. The technique of RF has the highlight of controlling the thickness of film by changing the power. The film obtained by deposition method is as thin as in nanoscale. But deposition methods have disadvantages in harsh preparation condition, such as: vacuum condition and substrate condition. Sol-gel method is an easy synthesis and low cost way to fabricate LLZO film, no matter the substrate conditions. But the method has the weakness on the substrate condition and very long-period experiment. The method of tape casting LLZO shows a simple operation and easy synthesis. But the films obtained by this method are thicker than by other methods.

According to the update reports, LLZO film-electrolytes have inferior ionic conductivity compared with bulk ceramic electrolyte. However, LLZO film- electrolytes, especially for the ultrathin film, have the inherent advantages due to the thickness. With the increasing demand for the micro-sized power source and the development of the fabricating technique, the film type electrolytes will be better and further improved.

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